

**Assurance Map**

**Capital**

<p align="center"><b>First Line of Defence (Do-ers)</b></p>	<p align="center"><b>Second Line of Defence (Helpers)</b></p>	<p align="center"><b>Third Line of Defence (Checkers)</b></p>
<ul style="list-style-type: none"> <li>• Follow Project Management protocols for project delivery.</li> <li>• Ensure project/programme risk register review meetings include consideration of any financial impact on the wider capital portfolio and any implications this may have across the Council.</li> <li>• Ensure key/sensitive projects allocated to managers with appropriate skills.</li> <li>• Review risk management training programme for key staff.</li> <li>• Seek guidance from Project Management Office.</li> <li>• Plan for good communication across Clusters</li> <li>• Consider availability to utilise ACC staff with appropriate skill out with the Capital team to deliver the capital programme of projects.</li> <li>• Consider availability of using consultant/contractor frameworks to facilitate the delivery of the capital programme of projects</li> </ul>	<ul style="list-style-type: none"> <li>• Resources Function Senior Management Team (SMT) undertakes review of Cluster Operational Risk Register.</li> <li>• Review by Chief Officer Capital and provide any key updates to Director of Resources and other Chief Officers.</li> <li>• Review by Capital Board</li> <li>• Report any issues by exception to the Performance Board</li> <li>• Annual reporting of Function / Cluster Risk Register to Capital Programme Committee</li> <li>• Executive Board Structure</li> <li>• Policy Documentation</li> </ul>	<ul style="list-style-type: none"> <li>• Internal Audit Plan approved and overseen by Audit, Risk and Scrutiny Committee</li> <li>• External Audit</li> </ul>

<ul style="list-style-type: none"><li>• Ensure consultation with other key Chief Officers</li><li>• Cost estimates for key projects to be reviewed at key stages of delivery</li><li>• Ensure independent cost estimate review check is carried out, prior to approving OBC</li><li>• Regular progress meetings.</li><li>• Where appropriate maintain close collaboration with other Chief Officers throughout delivery</li><li>• Regular reporting to Finance Officers, monthly.</li></ul>		
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